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PTO/SB/05 (1/98)

Approved for use through 9/30/2000. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	4314
First Inventor or Application	MASHIMO et al
Title	WOBBLE SIGNAL DETECTION CIRCUIT
Express Mail Label No.	EL641006300US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total 25]	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total 6]	8. <input type="checkbox"/> Assignment Papers (cover sheet &
4. Oath or Declaration [Total 3]	9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	10. <input type="checkbox"/> English Translation Document (if applicable)
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d))	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
[Note Box 5 below]	
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <u>inventor(s) named in the prior application,</u>	12. <input type="checkbox"/> Preliminary Amendment
5. <input type="checkbox"/> Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	14. <input type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application,
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Other: -----

\* A new statement is required to be entitled to pay small entity fees, except

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or <input type="checkbox"/> Correspondence address below	
Name	Anderson, Kill & Olick, P.C.				
Address	1251 Avenue of the Americas				
City	New York	State	New York	Zip Code	10020-1182
Country	U.S.A.	Telephone	212-278-1000	Fax	212-278-1733

Name (Print/Type)	Eugene Lieberstein	Registration No. (Attorney/Agent)	24645
Signature			Date 9/19/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. § 1.16(c) or (i))	10 -20* =	0	x \$ _____ =	\$
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b) or (i))	4 -3** =	1	x \$ 78.00 =	78.00
	ASSIGNMENT RECORDAL FEE MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			+ \$40.00 =	40.00
				BASIC FEE (37 C.F.R. § 1.16)	690.00
				Total of above Calculations =	808.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27 & 1.28).				
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.			TOTAL =	808.00

## 6. Small entity status:

- A small entity statement is enclosed, if (b) and (c) do not apply.
- A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 01-1944:

- Fees required under 37 C.F.R. § 1.16.
- Fees required under 37 C.F.R. § 1.17.
- Fees required under 37 C.F.R. § 1.18.

8.  A check in the amount of \$ \_\_\_\_\_ is enclosed.9.  New Attorney Docket Number, if desired*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]*

10. a.
- 
- Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- 
- b.
- 
- Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

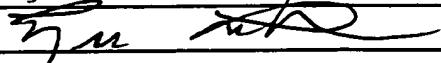
11.  Other: \_\_\_\_\_

**NOTE:** *The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.*

## 12. NEW CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> or <input type="checkbox"/> New correspondence address below
Anderson, Kill & Olick, P.C.				
Address	1251 Avenue of the Americas			
City	New York	State	New York	Zip Code
Country	U.S.A.	Telephone	212-278-1000	Fax

## 13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Eugene Lieberstein
Signature	
Registration No. (Attorney/Agent)	24645
Date	September 19, 2000

EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: MASHIMO et al

ATTORNEY'S DOCKET NO.: 4314

FOR: WOBBLE SIGNAL DETECTION CIRCUIT WITH IMPROVED CAPACITY TO  
REPRODUCE ATIP INFORMATION AND OPTICAL DISK APPARATUS HAVING SUCH

I hereby certify that the new application with:

- transmittal letter  
 specification and claims  
 declaration  
 assignment with Recordation Form Cover Sheet  
 6 sheets drawings  
 priority documents  
  
\_\_\_\_\_  
\_\_\_\_\_

is being deposited with the United States Postal Services  
"Express Mail Post Office to Addressee" service under 37 CFR  
1.10 on the date indicated below and is addressed to the  
Commissioner of Patents and Trademarks, Washington, DC 20231  
on September 19, 2000.

EXPRESS MAIL LABEL NO.

EL641006300US

Anne R. Jacoby  
(Name of Person making deposit)  
Anne R. Jacoby  
(Signature)

September 19, 2000  
(Date)

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